

Risk Management Matrix – Developed by Industry Training Consultants.

**Topic – PSBA Act 2002 Part 7 Trust Accounts Division 1 – 5 Section 85 - 102
PSBA Reg 2014 Part 4 Trust Money Section 18 - 32**

Flag	Risk	X	Comment	C/ NYC	Action	Initial	Signed Off (Manager)
	Act S.85						
	Act S.86 - 90		General Trust Acc. Regulations documented Operations Manual pg. 75/6	C		JS	KS
	Act S.91-95		N/A			JS	KS
	Act S.96-99		Unclaimed Trust Money procedure documented in Operations Manual pg.76	C		JS	KS
	Act S.100-102		Disclosing information regarding Trust Accounts to relevant part; documented Operations Manual pg.76/7	C		JS	KS
	Reg S.18		Banking of Trust Money documented Operations Manual pg.74	C		JS	KS
	Reg S.19		Records of unique identifying number	C		JS	KS
	Reg S.20		Records of trust money documented Operations Manual pg.73	C			
	Reg S.21		N/A			JS	KS

Risk Management Matrix – Developed by Industry Training Consultants.

**Topic – PSBA Act 2002 Part 7 Trust Accounts Division 1 – 5 Section 85 - 102
PSBA Regs. 2014 Part 4 Trust Money Section 18 - 32**

Flag	Risk	X	Comment	C/ NYC	Action	Initial	Signed Off (Manager)
	Reg S.22		Computer Systems Control	C		JS	KS
	Reg S.23		Receipts for Trust Money – Accounting procedure documents correct information	C		JS	KS
	Reg S.24		Payments of Trust Money – Procedure documents correct information	C		JS	KS
	Reg S.25-29		Documentation of all particulars in ledger/journals is correct	C		JS	KS
	Reg S.30		N/A				
	Reg S.31		Signing of Trust cheques	C		JS	KS
	Reg S.32		Exemptions from Act understood by Licensee	C		JS	KS